

## Application of FT-IR spectroscopy to assess physiological stress in rugby players during fatigue test

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**Abstract Introduction:** The diagnosis based on salivary biomarkers provides information about the physiological condition. However, the clinical trials used to analyze these biomarkers are relatively expensive and laborious. Thus, the purpose of this study was to identify the physiological stress in players using Fourier transform infrared spectroscopy (FT-IR). **Methods:** Thirteen male rugby players were submitted to the treadmill fatigue test and saliva collections were performed before and immediately after test. The FT-IR spectra of saliva samples were analyzed by the second derivative and cluster analysis. **Results:** From the results of cluster analysis were possible to discriminate the spectra of saliva before and after physical effort using the spectral region between 1490 to 1420  $\text{cm}^{-1}$ . Only the saliva spectra from two players were not discriminated in pre-exercise group and post-exercise group, which are in agreement with lowest value of heart rates. **Conclusion:** The second derivative showed differences between the average spectra of saliva samples collected pre and post-test, which explain the spectra discrimination by the cluster analysis using a specific infrared region for the identification of physiological stress.

**Keywords:** Infrared spectroscopy, Saliva, Sports.

### Introduction

The competitive training of athletes provides the training loads that are effective for performance improvement. This process requires successful interaction between the training load and recovery time, since failures in this process may promote overreaching and overtraining, resulting in some cases maladaptations and diminished competitive performance (Meeusen et al., 2013).

The diagnosis based on saliva provides information about the physiological condition, which has some advantages like low contamination risk and non-invasive procedure (Al-Shehri et al., 2013; Chiappin et al., 2007). Thus, several studies have utilized saliva analysis as a tool for monitoring steroid, peptide, and immune markers of sports training (Papacosta and Nassis, 2011; Papacosta et al., 2013). However, the clinical trials used to analyze salivary biomarkers (Al-Shehri et al., 2013; Moreira et al., 2013; Novaković et al., 2013; Salazar et al., 2013) are relatively expensive and laborious, which decreases the number of teams using this technology.

Fourier-transform infrared spectroscopy (FT-IR) has also been used to characterize biological samples as an alternative technique for laboratory tests (Ellis and Goodacre, 2006; Khaskheli et al., 2013).

This technique has great potential for analysis of body fluids (Petibois et al., 2000; Yoshida et al., 2013), allowing quantifying the biochemical components of biological sample. This quantification is done through the infrared absorption spectrum in the spectral range of 4000-700  $\text{cm}^{-1}$ , which is related to the vibrational modes of molecular radicals (Schultz et al., 1996). It also has some advantages compare to these laboratories analysis, such as easy sample preparation for spectral acquisition, it requires a small volume of sample and no further reagents (Franck et al., 1998; Khaustova et al., 2010; Petibois et al., 2000).

There are few works with FT-IR spectroscopy applied to saliva in the sport area, but it have shown promising results with quantitative analysis of biochemical components and provided real-time information (Khaustova et al., 2010; Perez-Guita et al., 2012). Some spectral bands of saliva were identified in the infrared region for biochemical components such as, cortisol, phosphate, glucose, protein, urea and SIgA. In addition, the levels of cortisol obtained by clinical laboratory trials have been correlated to IR bands of the saliva spectra (Khaustova et al., 2010).

In our previous work, the homogenization of saliva samples by vigorous mixing were the most

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appropriated way for FT-IR measurements, which was used mainly to classify the physiological status of athletes in exercise training by FT-IR (Caetano et al., 2015). In this context, the purpose of this study was to discriminate FT-IR spectra of mixed saliva collected before and after fatigue test continued, using cluster analysis.

## Methods

### Participants

This study was approved by the Ethics Research Committee of the University of Vale of Paraíba (No. 255.474) and all athletes were volunteers. The group was composed of 13 male rugby players (Age:  $19 \pm 1$  years; Height:  $174 \pm 6$  cm; Weight:  $77.2 \pm 12.2$  kg). The exclusion criteria were: use drugs/tobacco, existence of oral disease and any type of physical injury. Thus, the saliva samples of two players (R9 and R10) were excluded.

### Fatigue protocol

The fatigue test was performed on a treadmill (Movement LX 150). First, the subjects performed warm-up on the treadmill for 5 min at speed of 7 km/h. The players were required to run at 9 km/h for 5 min, and then followed by 1 km/h speed increments every 2 min until achieving fatigue (Quammen et al., 2012). At this point, the subjects were instructed to signal with his arm and the speed was slowed down to a walk. Measurements of resting and exercise heart rates (HR), during the entire test were performed with a heart rate monitor (model FT1, Polar Electro).

### Saliva collection

Saliva collection was performed at 7:00 am, before and immediately after fatigue test. All subjects were informed in advance to abstain from food and caffeine products for at least 2 h prior to the saliva collection. They were instructed to rinse out their mouths with distilled water and remain seated with eyes open, head tilted slightly forward, and avoid orofacial movements (Chiappin et al., 2007; Moreira et al., 2013). Unstimulated saliva samples were collected in sterilized tubes of 2 ml for approximately 10 min per athlete. The samples were immediately refrigerated at 5°C, centrifuged at 1700g for 10 min (Thermo Scientific™ Heraeus™ Multifuge™ X1 Centrifuge Series) and stored at -20°C until FT-IR measurements.

### Saliva analysis by FT-IR

Defrosted samples were homogenized for 10 s at full speed for 15 s using a mixer X(MS2; Minishaker Ika-Works Inc., Wilmington, NC, USA). After this

process, 15  $\mu$ l of saliva was deposited on a calcium fluoride ( $\text{CaF}_2$ ) window and dried for 60 min using an incubator (Quimis, Q317B-53, Brazil).

Infrared spectra were collected by a Spectrum 400 spectrophotometer coupled to a microscope (Perkin-Elmer, Spotlight 400) controlled by a computer using Spotlight 400 Software. Spectra were recorded in the spectral region 4000 to 750  $\text{cm}^{-1}$ , with 32 scans and a spectral resolution of 4  $\text{cm}^{-1}$ . The measurements were performed along the thin film, formed on the  $\text{CaF}_2$  surface, in eight random points (Caetano et al., 2015). A total of 240 spectra were obtained in two different experimental times (Pre and post physical effort).

### Statistical analyses

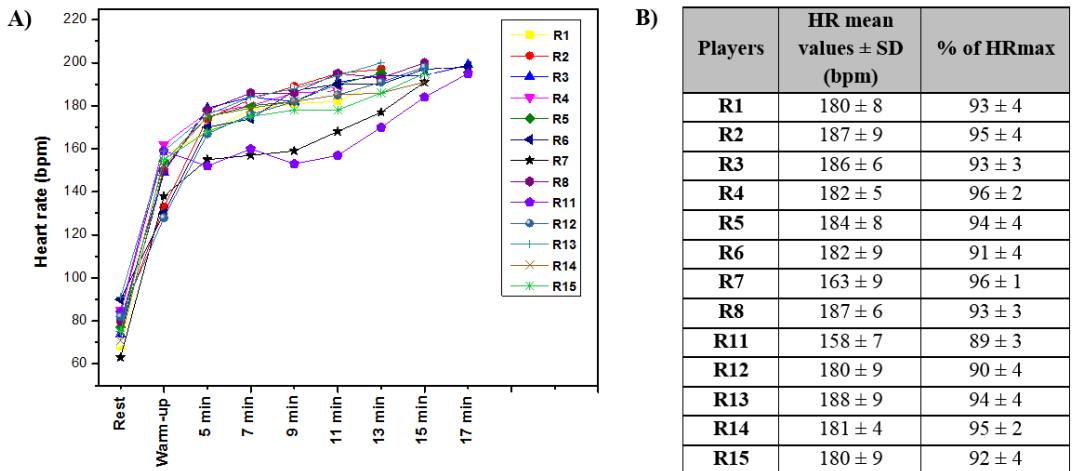
The means and standard deviation (SD) of the data were calculated. FT-IR spectra of saliva samples were analyzed by OPUS software (version 4.2) using cluster analysis and the following parameters: second derivative, smoothing 9 points, Ward's algorithm, and scaling to 1st range method. Cluster analysis classifies objects into groups which show similarities (Hair et al., 1998). In this study, this analysis was performed using the spectral region between 1490-1420  $\text{cm}^{-1}$  to discriminate saliva spectra collected before and after physical effort.

## Results

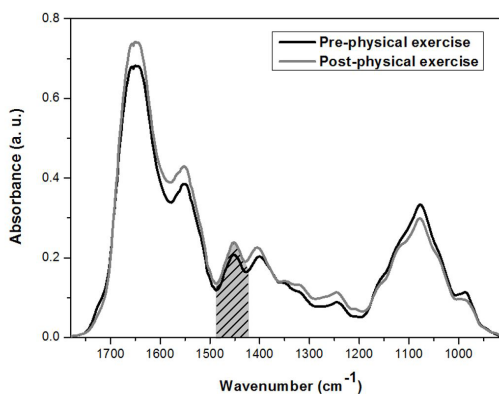
Exercise intensity during fatigue test was verified by HR, as shown in the Figure 1A. The Figure 1B shows the average HR values (SD) that were obtained from 5 min per players and HRmax (%), where the peak HR attained at exhaustion of maximal graded exercise was considered as HRmax (Galy et al., 2014). The results shows eleven players with similar HR mean values, except for R7 and R11 players that showed lower mean values.

The average of the FT-IR saliva spectra from samples collected before and after treadmill fatigue test are shown in the Figure 2. The region highlighted (1490-1420  $\text{cm}^{-1}$ ) was used in the cluster analysis.

The results from cluster analysis are shown in the Figure 3. This analysis was performed using the spectral region between 1490-1420  $\text{cm}^{-1}$ , which permitted to discriminate saliva spectra collected before and after treadmill test, as shown in the dendrogram. This discrimination shows that spectra of saliva collected at rest and under stress had different absorption bands. Only saliva spectra R7 and R11 players were not discriminated in pre-exercise group and post-exercise group, which show that physiological stress levels these players were lower as found in HR results.



**Figure 1.** Heart rate of the players, during treadmill fatigue test. (A) Heart rate values measured continuously in each stage; (B) HR mean values (SD) obtained from 5 min and HRmax (%) per players (n=13).



**Figure 2.** Average FT-IR spectra recorded in 1800-900  $\text{cm}^{-1}$  region for saliva samples collected before and after test.

The Figure 4A shows the average spectra of saliva samples in the region 1490-1420  $\text{cm}^{-1}$  and the arrows the bands revealed by second derivative calculation, as shown in Figure 4B. These results show the main differences between the spectra of saliva collected before and after physical effort.

## Discussion

In this study, the treadmill fatigue protocol was used to obtain the diagnosis of physiological stress through the saliva analysis by FT-IR. The exercise intensity was monitored by HR, which has been shown to be a good indicator of the exercise intensity (Chamari et al., 1995; 2004).

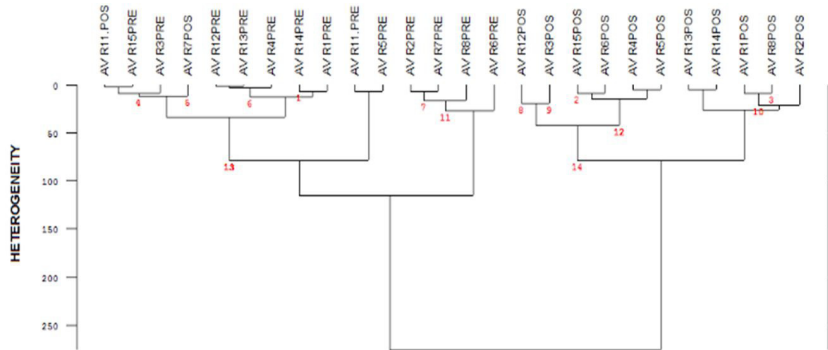
The HR values showed the exercise intensity was hard because according to the American College of

Sports Medicine Position Stand (Pollock et al., 1998) HR values above 70% peak HR can be considered hard-to-very hard. R7 and R11 players showed lower mean values due to a better aerobic capacity than the others players. These results could be explained by the individuals performance and sports training (Burini et al., 2010; Galy et al., 2014).

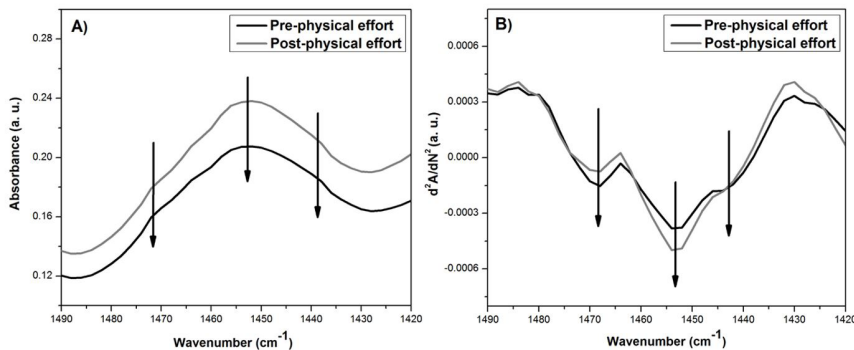
The results obtained by FT-IR using cluster analysis possible to discriminate the spectra of saliva collected before and after physical effort. The players that had the lowest HR values, also the spectra were in the pre-exercise groups. These findings shows the HR can be influenced by increased stress, which was previously shown in other studies (Galosy et al., 1981; Sapolsky et al., 2000).

This influence can be explained by certain levels of cortisol are necessary for the catecholamines and other sympathetic products to exert effects on the cardiovascular system, for example, induce vasoconstriction and increase heart rate. Thus, the specific conditions that elevate cortisol concentrations also have the potential to influence the variety of critical physiological processes that can be affected by hypothalamic-pituitary-adrenocortical axis activity (Dickerson and Kemeny, 2004). In this study, saliva collection was performed at 7:00 am, due to salivary cortisol circadian rhythm, in which levels increase dramatically on awakening and gradually decrease throughout the day, reaching the lowest levels late in the evening (Faghih et al., 2015).

It is important to highlight that saliva samples were homogenized because recently we show this process is important to avoid loss of certain proteins that can precipitate after saliva-defrosting process and



**Figure 3.** The dendrogram indicates the difference between the saliva samples collected pre and post physical effort in region 1490-1420  $\text{cm}^{-1}$ . Saliva spectra R7 and R11 players were not discriminated in pre-exercise group and post-exercise group. Cluster analysis used the second derivate, smoothing 9 points, Ward's algorithm and scaling to 1st range method for classifying objects into groups showing similarities.



**Figure 4.** Saliva samples spectra collected pre and post-test. (A) Identification of the main bands in average spectra of saliva pre and post-test; (B) Second derivative of the average spectra of saliva samples collected pre and post-test.

most effective way to classify physiological stress in athletes. The importance of the homogenization process of saliva samples was done by the main biochemical differences between supernatant and precipitate from saliva, which showed the combo (precipitate plus supernatant) is the most appropriate way to discriminate spectra of saliva collected before and after physical effort by multivariate statistical analysis (Caetano et al., 2015).

This discrimination of the saliva spectra (pre and post treadmill fatigue test) was done using the spectral region 1490-1420  $\text{cm}^{-1}$ , which correspond to the vibrational modes of C-H deformation of  $>\text{CH}_2$ ,  $\text{CH}_3$  asymmetric bending, C=O symmetric stretching of COO- group in aminoacids/fatty acids (Beekes et al., 2007; Khaustova et al., 2010; Krimm and Bandekar, 1986; Naumann, 2006; Oberg et al., 2004; Stuart, 1997). Thus, this region can be attributed to cortisol due to their chemical structure  $\text{C}_{21}\text{H}_{30}\text{O}_5$ , as well as that others salivary biomarkers (e.g., immunoglobulin A,  $\alpha$ -amylase, testosterone, etc.) that can have similar

vibrational modes, which are also changed in response to physical effort, as shown by Khaustova et al. (2010).

Although saliva contains a large number of protein compounds and hormones (Chiappin et al., 2007; Humphrey and Williamson, 2001), some studies found correlation between absorption bands with measurements of salivary components concentrations using others laboratory techniques and methods. These findings show that some salivary components, such as cortisol, immunoglobulin A,  $\alpha$ -amylase and total protein, has specific bands in infrared region (Khaustova et al., 2009; 2010). In addition, whole saliva contains mainly water, which would also be a limiting factor of the technique. However, this disadvantage is easily corrected using process of dehydration or subtracting the signal water (Petibois et al., 2000).

The second derivative of FT-IR spectra of the saliva samples using region 1490-1420  $\text{cm}^{-1}$ , it is possible to identify the differences between the spectra

of saliva collected before and after treadmill fatigue test. In the same region, it observed three important bands in 1470, 1453 and 1441  $\text{cm}^{-1}$ , which help to explain the discrimination of the spectra of saliva pre and post exercise, as well as identify a region (1490-1420  $\text{cm}^{-1}$ ) of high specificity for determine the physiological stress.

This spectral discrimination during training shows that there important changes of salivary biomarkers for specific athlete, serving as parameter for coaches. It is suggested that the spectra obtained in pre-season training should be used as group-rest parameters for comparisons of saliva spectra collected during the preparation and pre-competitive training periods. Thus, the athlete classified as stressed by FT-IR spectroscopy, should be subjected to additional analysis to ensure the state of stress of this athlete (e. g., physical tests and blood laboratory tests).

The results obtained in this study show the powerful diagnostic FT-IR to identify physiological stress. In addition, FT-IR spectroscopy does not require large volumes of sample and provided real-time information without the use of reagents. Thus, monitoring of physiological stress in athletes that belong to teams without financial support is possible to avoid overtraining syndrome, which can compromise the health and performance of these players.

In conclusion, it was possible to discriminate spectra of saliva collected before and after physical effort into two groups, using cluster analysis. This discrimination was performed by an infrared region with high specificity for the identification of stress.

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